

jc490 U.S. PTO  
02/29/00

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jc530 U.S. PTO  
09/515513  
02/29/00

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February 29, 2000

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. *to be assigned*; Filed: *Herewith*  
For: **cDNA Synthesis Improvements**  
Inventors: Li *et al.*  
Our Ref: 0942.4870001/RWE

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. USPTO Utility Patent Application Transmittal Form PTO/SB/05;
2. U.S. Utility Patent Application entitled:

**cDNA Synthesis Improvements**

and naming as inventors:

Wu Bo Li  
Joel A. Jessee  
Christian E. Gruber

Assistant Commissioner for Patents  
February 29, 2000  
Page 2

the application consisting of:

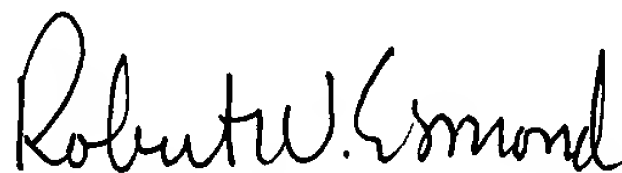
- a. A specification containing:
    - (i) 43 pages of description prior to the claims;
    - (ii) 4 pages of claims (32 claims);
    - (iii) a one (1) page abstract;
  - b. 6 sheets of drawings: (Figures 1-6);
  - c. 1 page of a paper copy of the sequence listing;
  - d. A computer readable copy of the sequence listing.  
In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith in the above application are the same;
3. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*); and
  4. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond  
Attorney for Applicants  
Registration No. 32,893

RWE/AES/tmp

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SKGF Rev. 11/9/99

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PTO/SB/05 (2/98)

App. for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR § 1.53(b))</i>	<b>Attorney Docket No.</b>	0942.4870001/RWE
	<b>First Inventor or Application Identifier</b>	Wu Bo Li
	<b>Title</b>	cDNA Synthesis Improvements
	<b>Express Mail Label No.</b>	

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*

2. ☒ **Specification** [Total Pages 48 ]  
*(preferred arrangement set forth below)*  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings *(if filed)*  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

3. ☒ **Drawings** (35 U.S.C. 113) [Total Sheets 6 ]

4. ☐ **Oath or Declaration** [Total Pages \_\_\_\_\_ ]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 17 completed)*  
**[Note Box 5 below]**

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ **Incorporation By Reference** *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*

7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

a. ☒ Computer Readable Copy

b. ☒ Paper Copy (identical to computer copy)

c. ☒ Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ 2 Return Receipt Postcards (MPEP 503)  
*(Should be specifically itemized)*

14. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired  
*(PTO/SB/09-12)*

15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16. ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization

☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

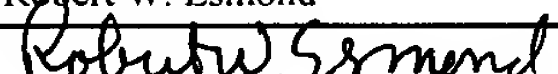
#### 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

*(Insert Customer No. or Attach bar code label here)*

or ☒ Correspondence address below

<b>NAME</b>	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
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<b>CITY</b>	Washington	<b>STATE</b>	DC	<b>ZIP CODE</b>	20005-3934
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<b>NAME (Print/Type)</b>	Robert W. Esmond	<b>Registration No. (Attorney/Agent)</b>	32,893
<b>SIGNATURE</b>		<b>Date</b>	Feb 29, 2000

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Li *et al.*

Appl. No. *To be Assigned*

Filed: *Herewith*

For: **cDNA Synthesis Improvements**

Art Unit: *To be Assigned*

Examiner: *To be Assigned*

Atty. Docket: 0942.4870001/RWE

3530 U.S. PTO  
09/515513



**Authorization To Treat A Reply As Incorporating An Extension Of Time  
Under 37 C.F.R. § 1.136(a)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond  
Attorney for Applicants  
Registration No. 32,893

Date: Feb 29, 2000

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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/10/2000 JARTIS 00000004 190036 09515513

01 FC:101	690.00 CH
02 FC:102	156.00 CH
03 FC:103	216.00 CH

PTO-1556  
(5/87)